

February 24, 2000

1c625 U.S. PTO  
09/513067  
02/24/00

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): de Simone, Christopher J.; Hand, Lucian M.; Kim, Gi Jeong; Kim, Seung Mo; Lee, Jin An  
Title: Nonexposed Heat Sink For Semiconductor Package

<u>X</u>	Return Receipt Postcard
<u>X</u>	This Transmittal Letter (in duplicate)
<u>10</u>	page(s) Specification (not including claims)
<u>3</u>	page(s) Claims
<u>1</u>	page Abstract
<u>8</u>	Sheet(s) of Drawings consisting of Figures 1, 2, 3, 4, 5A, 5B, 5C, 6A, 6B, 7A, 7B, 8, 9A, 9B, 10A, and 10B
<u>3</u>	page(s) Declaration For Patent Application and Power of Attorney signed by de Simone, Christopher
<u>3</u>	page(s) Declaration For Patent Application and Power of Attorney signed by Kim, Gi Jeong; Kim, Seung Mo; Lee, Jin An
<u>1</u>	page(s) Recordation Form Cover Sheet (in duplicate)
<u>3</u>	page(s) Assignment signed by Kim, Gi Jeong; Kim, Seung Mo; Lee, Jin An
<u>3</u>	page(s) Assignment signed by de Simone, Christopher
<u>31</u>	Copy of Korean Patent Application 99-13130 (uncertified)
<u>2</u>	Petition for Retroactive License Pursuant to 37 C.F.R. § 5.25
<u>2</u>	Declaration Of James E. Parsons In Support Of Petition For Retroactive Foreign Filing License
<u>2</u>	Declaration Of Taebok Jung In Support Of Petition For Retroactive Foreign Filing License
<u>2</u>	Declaration Of Christopher de Simone In Support Of Petition For Retroactive Foreign Filing License

**CLAIMS AS FILED**

For	Number Filed		Number Extra		Rate		Basic Fee
Total Claims	12	-20 =	0	x	\$18.00	=	\$ 0.00
Independent Claims	3	-3 =	0	x	\$78.00	=	\$ 0.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application						\$
<input checked="" type="checkbox"/>	Fee for Petition for License Pursuant to CFR § 5.25						\$ 130.00

Please make the following charges to Deposit Account 19-2386:

- ☒ Total fee for filing the patent application in the amount of \$ 820.00  
☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL  
NO:  
EL276258271US

Respectfully submitted,

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LICENSING & REVIEW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): de Simone, Christopher J., et al.  
Assignee: Amkor Technology, Inc. and Anam Semiconductor, Inc.  
Title: NONEXPOSED HEAT SINK FOR SEMICONDUCTOR PACKAGE  
Serial No.: TBD Filed: TBD  
Examiner: TBD Group Art Unit: TBD  
Docket No.: AB-928 US

San Jose, California  
February 24, 2000

ATTN: LICENSING AND REVIEW  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**PETITION FOR RETROACTIVE LICENSE PURSUANT  
TO 37 C.F.R. § 5.25**

Dear Sir:

The applicants respectfully request a retroactive foreign filing license under the provisions of 37 C.F.R. § 5.25, and further request that the petition be granted retroactively to April 14, 1999.

The present petition is being filed because one aspect of the disclosure of the present application, in particular, the concept of a nonexposed heat sink for an integrated circuit package, was conceived in the United States. This concept was subsequently included in Korean Patent Application No. 99-13130 with other concepts that were invented in Korea. The Korean application was filed in the Korean Industrial Property Office ("KIPO") on April 14, 1999 without a license for foreign filing. A copy of the Korean application is filed herewith.

In support of the petition, the applicants are submitting declarations of Christopher de Simone, James E. Parsons and Tae Bok Jung. In accordance with 37 C.F.R. 5.25 (a)(3)(i)-(iii), the facts set forth in the declarations establish that: (1) the subject matter was not under a secrecy order at the time it was filed abroad; (2) the subject matter is not currently under a

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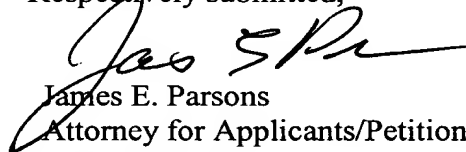
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secrecy order; and (3) a license was diligently sought after discovery of the proscribed foreign filing. The declarations also provide an explanation as to why the material was inadvertently filed abroad without the required license under § 5.11 first having been obtained.

The fee required for this Petition for Retroactive License (37 C.F.R. § 1.17(h)) and any additional fees which may be required may be charged to Deposit Account 19-2386. A duplicate of this petition is enclosed herewith.

Questions concerning this petition may be directed to the undersigned at phone number (408) 453-9200, extension 1315.

Respectively submitted,

  
James E. Parsons  
Attorney for Applicants/Petitioners  
Reg. No. 34,691

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